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Nancy L. Swanson

Ma 6, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

J. M. NUSS et al.

Confirmation No.:

1440

U.S. Application No.

10/719,896

Filing Date:

November 21, 2003

Group Art Unit:

1614

Examiner:

Unassigned

For:

SMALL MOLECULE PI 3-KINASE INHIBITORS

AND METHODS OF THEIR USE

### **POWER OF ATTORNEY FOR PATENT APPLICATION**

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As assignee of record of the above-identified application, I hereby appoint the following as my attorneys with full power of substitution to prosecute this application and transact all business in the patent office connected therewith:

## Comprising Chiron Corporation, Emeryville, California:

Name	Reg. No.	<u>Name</u>	Reg. No.
Lisa E. Alexander	41,576	Robert P. Blackburn	30,447
Steven W. Collier	42,429	Young J. Suh	41,337
Rebecca M. Hale	45,680	Alisa A. Harbin	33,895
Charlene A. Launer	33,035	Marcella Lillis	36,583
Michael J. Moran	42,013	T. Helen Payne	36,889

Comprising the firm of Christensen O'Connor Johnson Kindness, Seattle, Washington:

George E. Renzoni, Ph.D. Registration No. 37,919

Please direct all telephone calls regarding this application to George E. Renzoni at Christensen O'Connor Johnson Kindness: Telephone (206) 695-1755.

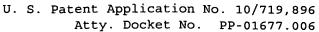
## Address for ALL Correspondence:

Chiron Corporation Intellectual Property P.O. Box 8097 Emeryville, CA 94662-8097

**CHIRON CORPORATION** 

T. Helen Payne
Assistant Secretary

Dated this \_5 day of May, 2004





#### DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### SMALL MOLECULE PI 3-KINASE INHIBITORS AND METHODS OF THEIR USE

the specification of which (check one) is attached heretox_ was filed on November 21, 2003, and assigned U.S. Patent Application Serial No. 10/719,896 by the U.S. Patent and Trademark Office, and was amended on (if applicable).
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended referred to above.
I acknowledge the duty to disclose information that is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).
I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:
Prior Foreign Application(s) Priority Claimed
Number Country Day/Month/Year Filed Yes No

I hereby claim the benefit under Title 35, United States Code, §120 and/or 119(e) of any United States application(s) and/or provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application		Status	
Serial No.	Filing Date	Patented, Pending, Abandoned	
60/523,081	November 19, 2003	Pending	
60/438,568	January 7, 2003	Abandoned	
60/428,473	November 21, 2002	Abandoned	

\_\_\_\_\_\_

I hereby declare that all statements herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States code and that such willful false. statements may jeopardize the validity of the application or any patent issued

Full name of sole or first inventor

JOHN N.NUSS

Inventor's signature:

Residence:

Danville California USA

Citizenship:

United States

Post Office Address: c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097

Full name of sole or second inventor:

SABINA PECCHI

Inventor's signature:

Date: 5/3/04

Date: 5-4-04

Residence:

Oakland, California USA

Citizenship:

United States ITALY (SP) 5/3/04

Post Office Address: <u>c/o Chiron Corporation</u>, P.O. Box 8097, Emeryville, CA 94662-8097

Full name of sole or third inventor;

PAUL A. RENHOWE

Inventor's signature:

Danville, California USA

Residence:

Citizenship: United States

Post Office Address: c/o Chiron Corporation, P.O. Box 8097, Emeryville, CA 94662-8097

Farm	PTO-1595

MB No. 0651-0027 (exp. 5/31/2002)

# RECORDATION FORM COVER SHEET **PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

	Atty Docket No.: PP-01677.006
To the Director of the U.S. Patent and Trademark Office: Plea	ase record the attached original documents or copy thereof.
1. Name of conveying party(ies):  NUSS, John M.  PECCHI, Sabina RENHOW, Paul A.  Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No  3. Nature of conveyance:  ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other	2. Name and address of receiving party(ies) Name: Chiron Corporation  Internal Address: Intellectual Property Dept., R-338 Street Address: 4560 Horton Street  City: Emeryville State: CA Zip: 94608-2916  Other
Execution Date: April 28, 2004, May 3, 2004 and May 4, 2004	Additional name(s) & address(es) attached? ☐ Yes ☒ No
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the  A. Patent Application No.(s)  U.S. Patent Application No. 10/719,896	B. Patent Registration No.(s)
Additional number(s) att	ached Yes No
<ul> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> <li>Name: Chiron Corporation</li> <li>Internal Address: Intellectual Property, Mail Stop R-338 Street Address: 4560 Horton Street</li> <li>City: Emeryville State: CA Zip: 94608-2916</li> </ul>	6. Total number of applications and patents involved   7. Total fee (37 CFR 3.41)
City. Efficiency State. CA Zip. 94000-2910	8. Deposit account number: 03-1664
	(Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature.	Falfile 5-5-09
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\_, 2004.

#### ASSIGNMENT

WHEREAS, We, JOHN M. NUSS, SABINA PECCHI, and PAUL A. RENHOW, hereinafter referred to as ASSIGNORS, have co-invented certain improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

**SMALL MOLECULE PI 3-KINASE INHIBITORS** 

AND METHODS OF THEIR USE

Date of Execution:

April 28, 2004, May 3, 2004 and May 4, 2004

Filing Date:

November 21, 2003

Serial No:

10/719,896

WHEREAS, CHIRON CORPORATION, located at 4560 Horton Street, Emeryville, California 94608-2916, hereinafter referred to as *ASSIGNEE*, is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNORS by ASSIGNEE, receipt of which is hereby acknowledged, ASSIGNORS have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE'S successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions (including conversions claiming priority under 35 U.S.C. § 119(e)), reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNORS had this assignment and transfer not been made, to the full end and term of any Letters Patent.

**ASSIGNORS** also agree that the U.S. serial number and the filing date may be entered above by **ASSIGNEE** or its agents upon designation of the serial number and the filing date by the U.S. Patent and Trademark Office.

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ASSIGNORS further agree that they will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNORS have hereunto signed their names to this assignment on the dates indicated below.

		INVENTOR: JOHN M. NUSS
	. 1	0 DM///
DATE	4/78/04	
DATE _	112 90	
		Signature

I hereby witness the signing by John M. Nuss of this Assignment document for U.S. Patent Application No. 10/719,896:

Signature of Witness

**PATENT** U.S. Patent Application No. 10/719,896 Attorney Docket No. PP-01677.006

	INVENTOR: SABINA PECCHI
DATE 5/3/04	Signature
State of California	
County of Aldmand	
County of Alous County	
	ecuted the same in his/her/their authorized ure(s) on the instrument the person(s), or the
WITNESS my hand and official seal.	NANCY L. SWANSON Commission # 1326916 Notary Public - California Alameda County My Comm. Expires Nov 23, 2005
Signature of Notary Public	Notary Seal
0	Tiotaly ocal

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**INVENTOR: PAUL A. RENHOWE** 

date <u>5-4-04</u>

Signature

State of California

County of Almore

WITNESS my hand and official seal.

Signature of Notary Public

NANCY L. SWANSON
Commission # 1325916
Notary Public - California
Alameda County
My Comm. Expires Nov 23, 2005

Notary Seal